

<b>DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)</b>				Attorney Docket No.		57070-8021.US00	
				First Named Inventor		Yuan, et al	
				COMPLETE IF KNOWN			
				Application Number		10/591,358	
				Intl. Filing Date		02 March 2005	
<input type="checkbox"/>	Declaration Submitted With Initial Filing	OR	<input checked="" type="checkbox"/>	Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)	Art Unit		TBA
					Confirmation No.		9546
					Examiner Name		Not yet assigned

**I hereby declare that:**  
Each inventor's residence, mailing address, and citizenship are as stated below next to their name.

I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**Triptolide Lactone Ring Derivatives as Immunomodulators and Anticancer Agents**

(Title of the Invention)

the specification of which

☐ Is attached hereto  
OR

☒ was filed on (MM/DD/YYYY) **03/02/05** As United States Application Number or PCT Int.

Application Number **PCT/US2005/006952** and was amended on **MM/DD/YYYY** (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge that the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

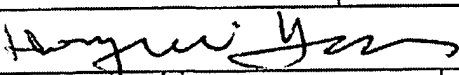
Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

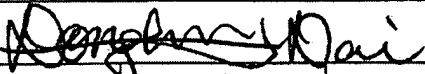
I hereby claim the benefit under 35 U.S.C. §120 of the United States application(s) or 365(c) of any PCT international application(s) designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT application(s) in the manner provided by the first paragraph of 35, U.S.C. §112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR §1.56(a) which became available between the filing date of the prior application(s) and the national or PCT international filing date of this application.

**Prior US Applications or PCT International Applications Designating the US for Benefit under 35 USC §120:**

US Applications		Status: (Check One)		
US Application No.	US Filing Date	Patented	Pending	Abandoned
60/549,769	02 March 2004	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>

PCT Application Designating the US			Patented	Pending	Abandoned
PCT Application No.	PCT Filing Date	US Serial Nos. Assigned (If any)			
PCT/US2005/006952	02 March 2005			X	
Direct all correspondence to: <input checked="" type="checkbox"/> The address associated with 79975 or <input type="checkbox"/> Correspondence Address					
Name					
Address					
City		State		Zip	
Country	Telephone		Email		
<p align="center"><b>WARNING:</b></p> <p>Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available.</p> <p>I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.</p>					
NAME OF SOLE OR FIRST INVENTOR:			<input type="checkbox"/> A petition has been filed for this unsigned inventor		
Given Name (first and middle (if any)) Hongwei			Family Name or Surname YUAN		
Inventor's Signature 			Date 08/04/2008		
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NAME OF ADDITIONAL JOINT INVENTOR, IF ANY:			<input type="checkbox"/> A petition has been filed for this unsigned inventor.		
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Inventor's Signature			Date		
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City San Carlos		State CA	Zip 94070	Country US	

PCT Application Designating the US			Patented	Pending	Abandoned
PCT Application No.	PCT Filing Date	US Serial Nos. Assigned (if any)			
PCT/US2005/006952	02 March 2005			X	
Direct all correspondence to: <input checked="" type="checkbox"/> The address associated with <b>79975 or</b> <input type="checkbox"/> Correspondence Address					
Name					
Address					
City		State		Zip	
Country		Telephone		Email	
<p style="text-align: center;"><b>WARNING:</b></p> <p>Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available.</p> <p>I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.</p>					
<b>NAME OF SOLE OR FIRST INVENTOR:</b>			<input type="checkbox"/> A petition has been filed for this unsigned inventor		
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Inventor's Signature					Date
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City San Mateo		State CA		Zip 94401	
Country US					
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Inventor's Signature <i>John H. Musser</i>					Date <i>Aug 6, 2005</i>
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City San Carlos		State CA		Zip 94070	
Country US					

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Inventor's Signature 		Date Aug 4, 08	
Residence City: Mountain View	State CA	Country US	Citizenship US
Mailing Address 400 Ortega Avenue, #316			
City Mountain View	State CA	Zip 94040	Country US

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.